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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	600-33-DIV
	First Inventor	BEARD, et al.
	Title	Amines Substituted with
	Express Mail Label No.	EV181110721US

 17399 U.S. PTO  
 10/612667  
 07/02/03

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents		Mail Stop Patent Application Commissioner for Patents PO Box 1450, Arlington, VA 22313-1450	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)		
2. <input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27.	8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)		
3. <input checked="" type="checkbox"/> Specification [Total Pages <b>123</b> ] (preferred arrangement set forth below)	a. <input type="checkbox"/> Computer Readable Form (CRF)		
- Descriptive title of the invention	b. Specification Sequence Listing on:		
- Cross Reference to Related Applications	i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or		
- Statement Regarding Fed sponsored R & D	ii. <input type="checkbox"/> paper		
- Reference to sequence listing, a table, or a computer program listing appendix	c. <input type="checkbox"/> Statements verifying identity of above copies.		
- Background of the Invention			
- Brief Summary of the Invention			
- Brief Description of the Drawings (if filed)			
- Detailed Description			
- Claim(s)			
- Abstract of the Disclosure			
4. <input type="checkbox"/> Drawing(s) (35 U.S.C. 113) [ Total Sheets ] [ Total Pages ]			
5. Oath or Declaration			
a. <input type="checkbox"/> Newly executed (original or copy)			
b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)			
i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).			
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76			

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☒ 37 CFR 3.73(b) Statement ☒ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i)  
Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFT 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of application No. 09/533,680

Prior application information: Examiner: MCKENZIE, Thomas C Group Art Unit: 1624

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

☒ Customer Number or Bar Code Label  or ☒ Correspondence address below

Name	Gabor L. Szekeres 36185				
Address	8141 East Kaiser Boulevard PATENT TRADEMARK OFFICE Suite 112				
City	Anaheim Hills	State	California	Zip Code	92808
Country	United States	Telephone	(714) 833-3295	Fax	(714) 833-3296

Name (Print/Type)	Gabor L. Szekeres	Registration No. (Attorney/Agent)	28,675
Signature	Gabor L. Szekeres	Date	July 2, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

15541 U.S. PTO  
07/02/03

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PTO/SB/17 (10-01)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision

**TOTAL AMOUNT OF PAYMENT (\$)** 750.00

## Complete if Known

Application Number	
Filing Date	
First Named Inventor	Richard L. BEARD
Examiner Name	Mukund Shah
Group Art Unit	1624
Attorney Docket No.	600-33-DIV

## METHOD OF PAYMENT

1 ☐ Check ☐ Credit card ☐ Money Order ☒ Other ☐ None

Deposit Account Number: 01-0885

Deposit Account Name: ALLERGAN, INC

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayment

☒ Charge Any Additional Fee Required

☐ Applicant claims small entity status. See 37 CFR 1.27

2 ☐ Payment Enclosed:

☐ Check ☐ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge-late-filing fee or oath	
1052	50	2052	25	Surcharge-late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petition to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times # of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	750	201	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify) _____					

## FEE CALCULATION

1 BASIC FILING FEE				Fee Description	Fee Paid
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)		
1001	750	2001	375	Utility filing fee	750.00
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					750.00

## 2 EXTRA CLAIM FEES

		Extra Claims	Fee from below	Fee Paid
Total Claims	-20** =	X	=	
Independent Claims	-3** =	X	=	
Multiple Dependent Claims			=	

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent Claims in excess of 3
1203	280	2203	140	Multiple dependent claims, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

\*\* or number previously paid, if greater; For Reissues, see above

\*Reduced by Basic Filing Fee Paid **SUBTOTAL (3) (\$)**

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Robert J. Baran	Registration No. (Attorney/Agent)	25,806	Telephone	714-246-4669
Signature	RJ Baran	Date	6/27/03		

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 1-31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office. U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<h1 style="text-align: center;">TRANSMITTAL FORM</h1> <p style="text-align: center;">(TO BE USED FOR ALL CORRESPONDENCE AFTER INITIAL FILING)</p>		Application Number	09/533,680
		Filing Date	March 23, 2000
		First Named Inventor	BEARD, et al.
		Group Art Unit	1624
		Examiner Name	Mukund Shah
Total Number of Pages in This Submission	14	Attorney Docket Number	600-33-PA

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication To Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communicatio to Board Of Appeals and interferences
<input checked="" type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Covert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below:
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks DECLARATION OF S. VULIGONDA	
<input type="checkbox"/> Response to Missing Parts Under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual Name	Gabor L. Szekeres
Signature	<i>Gabor L. Szekeres</i>
Date	January 29, 2003

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <u>January 29, 2003</u>	
Typed or printed name	Linda Simpson
Signature	<i>Linda Simpson</i>
Date	<u>January 29, 2003</u>

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Office, U.S. Patent and Trademark Office, Washington DC 20231. DO NOT SEND FEES FOR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Applicant: BEARD, et al.

Docket No.: 600-33-PA

Serial No.: 09/533,680

Filed: March 23, 2000

Title: Amines Substituted with Dihydronaphthalenyl, Chrome-Nyl or Thiochromenyl Group Having Retinoid-Like Biological Activity

Mailed to USPTO on 1/29/2003

☐ Response to the Office Action dated: December 6, 2002

☐ Amendment

☐ Certificate of First Class Mailing

☒ Confirmation Post Card

☒ DECLARATION OF S. VULIGONDA



Kindly acknowledge receipt of above by stamping and returning this postcard.

ATTORNEY DOCKET NO. 600-33-DIV

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of	)	
Richard L. BEARD, et al.	)	Prior Examiner: Mukund Shah
	)	Prior Art Unit: 1624
Serial No.: Unknown	)	
	)	Anaheim Hills, California
Filed Herewith – July 2, 2003	)	
	)	Attorney Docket No.: 600-33-DIV,
For: Amines Substituted with	)	
Dihydronaphthalenyl, chromenyl or Tiochromenyl	)	
Group Having Retinoid-Like Biological Activity	)	
	)	
	)	

EV181110721US

**FILING UNDER 37 CFR 1.53(b) and 1.78(a)**  
**BOX NEW APPLICATION**  
Commissioner for Patents  
Washington D.C. 20231

Certificate of Express Mailing

I hereby certify that this correspondence is being deposited on July 2, 2003 with the United States Postal Service via Express Mail, "Post Office to Addressee", Label No. EV181110721US, mailed to: Mail Stop Divisional Patent Application, Commissioner for Patents, P.O. Box 1450, Arlington, VA 22313-1450.

Linda Simpson  
Linda Simpson

July 2, 2003  
Date

Dear Sir:

This is a request for filing a Divisional application under 37 CFR 1.53(b) and 1.78(a), of pending application Serial No. 09/533,680 filed on March 23, 2000.

**CERTIFICATION UNDER 37 CFR 1.10**

I hereby certify that this 37 CFR 1.53(b) and 1.78(a) request and the documents referred to as attached herein are being deposited with the United States Postal Service on this date of July 2, 2003 in an envelope as: "Express Mail Post Office to Addressee" service under 37 CFR 1.10, Mailing Label No. EV181110721US addressed to: Mail Stop Divisional Application, Commissioner for Patents, P.O. Box 1450, Arlington, VA 22313-1450.

Linda Simpson  
(Person mailing paper)

Linda Simpson  
(Signature of Person)

July 2, 2003  
Date

1. Statement that the Attached is a True Copy of the Prior Application as Filed:

The undersigned hereby verifies that the attached papers are a true copy of what is shown in my records to be the above-identified prior "Parent" application, U.S. Serial No.09/533,680, filed March 23, 2000.

The attached copy of the prior "parent" application as filed includes the following:

- 114 pages of Specification;
- 8 pages of Claims;
- 1 pages of Abstract;
- 0 pages of Drawings;
- 4 pages of Declaration and Power of Attorney as filed (executed)

2. Amendment

In accordance with the Preliminary Amendment submitted herewith, please cancel original Claims 11-13 and 16-27 before calculating the filing fee.

3. Fee Calculation

**CLAIMS AS FILED**

			Basic Fee		\$ 750.00
Number Filed		Number Extra	Rate		
<hr/>					
Total Claims:	18 - 20	0	x	\$18	\$0
<hr/>					
-					
Independent Claims:	3 - 3	0	x	\$84	\$0
<hr/>					
Multiple Dependent Claims					
			x	\$	\$
Filing Fee Calculation					\$750.00

4. Fee Payment

Please charge Deposit Account No. 01-0885 for all filing fees indicated above, as well as for any discrepancies that may occur.

5. Power of Attorney, Appointment of Associate Attorney, Under Rule 1.34(b)

The power of Attorney in the prior application is to Martin A. Voet, Registration No. 25,208; Robert J. Baran, Registration No. 25,806; Carlos Fisher, Registration No. 36,510; Stephen Donoval, Registration No. 33,433; Brent Johnson, Registration No. 51,851; and Gabor L. Szekeres, Registration No. 28,675.

Please address all future communications to:

Gabor L. Szekeres  
8141 E. Kaiser Boulevard  
Suite 112  
Anaheim Hills, California 92808  
Telephone (714) 998-3295  
Facsimile: (714) 998-3296

Respectfully submitted,

Dated: July 2, 2003

By: Gabor L. Szekeres  
Gabor L. Szekeres, Reg. No. 28,67

Dated: 6/27/03

By: RJ Baran  
Robert J. Baran, Esq.  
Registration No. 25,806  
Assistant General Counsel for  
ALLERGAN - Authorized  
Signature for Deposit Account  
No. 01-0885

Law Offices of Gabor L. Szekeres  
8141 E. Kaiser Blvd., Ste. 112  
Anaheim Hills, CA 92808  
Telephone: (714) 998-3295  
Facsimile: (714) 998-3296

1. Statement that the Attached is a True Copy of the Prior Application as Filed:
2. Amendments
3. Fee Calculation
4. Fee Payment